



# FT CARES Foundation

ANNUAL REPORT 2012

# WHAT A YEAR!

## Dear FT Cares Foundation Supporter:

It has been just a year since the **FT Cares Foundation** became "official" by becoming a 501(c)(3) not-for-profit corporation. And what a time this has been!

The First Trust Cares movement was well established at First Trust Portfolios, and for several years we had been participating in holiday giving traditions, as well as other initiatives throughout each year. Because we had grown so large (22 different initiatives in 2011!), we determined it was time to create an organization that gives all donors tax-free status for their donations and operates under IRS rules for charitable organizations. Accordingly, we now have four Officers and a Board of Directors to give the Foundation oversight.

That's all the "official stuff". Let's talk about the work! What we were able to accomplish in 2012 was both exciting and humbling, and we far surpassed where I thought we would be as we ramped up the Foundation. In March, we raised \$15,000 in one day — *fewer than 8 hours* — to help Outreach Community Center buy a new bus. In August, through a tremendous fundraising effort by nearly all departments of First Trust, we raised over \$35,000 and sent 40 volunteers to help build a playground at St. Philip Neri School. And the list goes on! We're proud to report on our initiatives in 2012 and the ways we helped transform lives.

...*Answering the call*...a phrase I've used over and over. But these three words express perfectly why the Foundation has already exceeded our expectations, and more importantly, has succeeded in doing what we set out to do... "*transform the lives of others*". It was a bold statement, because we also said we would do it "locally, nationally, and globally." And in the time since the Foundation was formed, we have done just that! Despite the historic low in U.S. charitable giving, the Foundation raised an amazing amount of funds in 2012. So many of you gave from your heart...whether through automatic payroll deduction, your Jeans Day for a Cause participation, food donations, presents you bought or your volunteer efforts, **ALL of these things gave us our most successful year ever — which translates into truly transforming the lives of others.**

I hope you enjoy this Annual Report and the reporting of all we've accomplished through your generosity. Thank you to each and every one of you who has gotten involved. **You ARE making a difference.** And as I've said many times...we're just getting started!

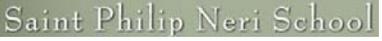


February 2012 trip to Rwanda

On behalf of the Officers and Board of Directors  
of the FT Cares Foundation,  
thank you for helping to transform lives!

*June*

# 2012 INITIATIVES

JANUARY	FEBRUARY	MARCH	APRIL
<p><b>Men's International Missions</b></p> 	 	 <p>Opportunity International</p> 	 
MAY	JUNE	JULY	AUGUST
    	    	  	   
SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
  	  	      	     

See the Foundation website for more information about the organizations listed above.  
[ftcaresfoundation.org](http://ftcaresfoundation.org)

# IN THE SPOTLIGHT



*We share a story from James, a client served by PADS this year.*

## FROM A PLACE TO SLEEP TO A RIDE TO AN INTERVIEW

*When the economy crashed, I was working in manufacturing as a Production Manager. The orders stopped coming in and the company had to make changes. I was laid off and had no way to pay rent.*

*Thankfully, DuPage PADS was there to offer overnight housing for a place to sleep and nourishing meals. During the day at DuPage PADS Employment and Education Center, I attended workshops on resume writing and was provided a number of resources. Computers were available to search for jobs.*

*Larry, the Employment Coordinator, helped me update my resume, coached me for an interview and even drove me when I did not have transportation to get there! I got the job and during the tough period when I didn't have a car, several individuals made sure I could get to work until I could afford a car. DuPage PADS has been a real blessing for me.*



*I'm really grateful for being employed. I've now been working at JD Norman for 14 months, back in manufacturing. My job gives me a sense of accomplishment. Also, with the absence of family, the company employees satisfy my need for family.*

*I still visit the people at DuPage PADS and give rides to people whenever I can. It is nice that I can give back and help people, even if it is just by giving a hug.*

—James

DuPage PADS is committed to ending homelessness in DuPage County. Moving beyond just providing shelter and meals, DuPage PADS now provides an array of services including housing, support and employment readiness.

*We share a story from Rosemary, a client of Opportunity International.*

## THE POWER OF ONE

Rosemary runs the **Nadulu Infant Primary School** in Kampala, Uganda. Forty years ago, she opened a tiny school for infants in makeshift quarters. Today, thanks to tireless determination and Opportunity loans, five permanent buildings house her elementary school and orphanage. Here, “Mama Rosemary” educates and cares for 900 children—nearly half of whom are orphans—and offers discounted or free tuition to many of her other students. She also provides treatment at the school to 15 children who were born with HIV. “All my life, I’ve been taking care of the children,” she says. “They are down in my heart. I’ve seen how children suffer, so I [took on] that responsibility.”

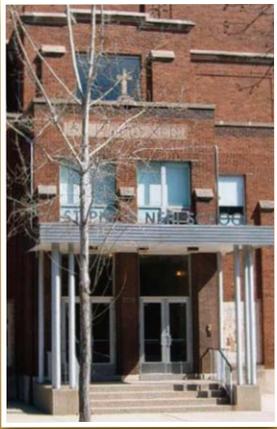
Through Opportunity’s **education finance** tools, Rosemary has been able to employ 69 people and greatly enhance economic activity in her community. Rosemary goes even further: she helps other women establish businesses, she chairs the local women’s council and she opens up her school to the community for meetings and celebrations.



We salute Rosemary, one woman driven by the belief that education leads to a better life for this and the next generation and who put her belief in practice to transform lives.

***That’s the power of one.***

Opportunity International provides microfinance loans, savings, insurance and training to over 4 million people working their way out of poverty in the developing world.



**WE BUILT A PLAYGROUND!** On August 30, 2012, 40 volunteers from First Trust went to the South Shore neighborhood to the St. Philip Neri Catholic Grade School and helped *Build a Playground*. Morgan Stanley had invited First Trust to participate in this annual initiative, and First Trust jumped at the chance to help. We opened up the opportunity to every department at First Trust and asked that they raise money to send a volunteer to "Build Day." The response to the request was even more than we could have hoped for! Departments raised money and we got 40 volunteers almost immediately, and at the end of the 2-week raise period, we had collected \$35,000!

The day of the build was magnificent — a brilliant August day. Along with 100 volunteers from Morgan Stanley, parents of St. Philip Neri students and community volunteers, we got into groups and started work. Some volunteers built a slide, a dome or monkey bars. Some put together an "outdoor classroom" area. Others, for hours on end, shoveled and moved wood chips. Some painted signs. Some mixed and poured concrete. Though it got a little warm as the day went on, and some of the work was tough, there were smiles on the volunteers' faces throughout the day. You could feel that everyone knew what we were doing was important and would make a huge difference in the lives of the students at the school and in the neighborhood.

By 2:00, the playground was nearly complete, and as the christening ceremony was about to begin, the students were let out of school to see their new playground. *Astonished. Excited. Happy.* These are the words that describe what we saw on the kids' faces...and those exact words seem to be perfect for what all the volunteers felt at that moment.

*One day.* One day made possible by hundreds of employees at two firms who helped raise money and sent volunteers. One day that will make days, weeks, months and years infinitely better for students who now have a playground at their school and can participate in outdoor fun.

Talk about **IMPACT!**

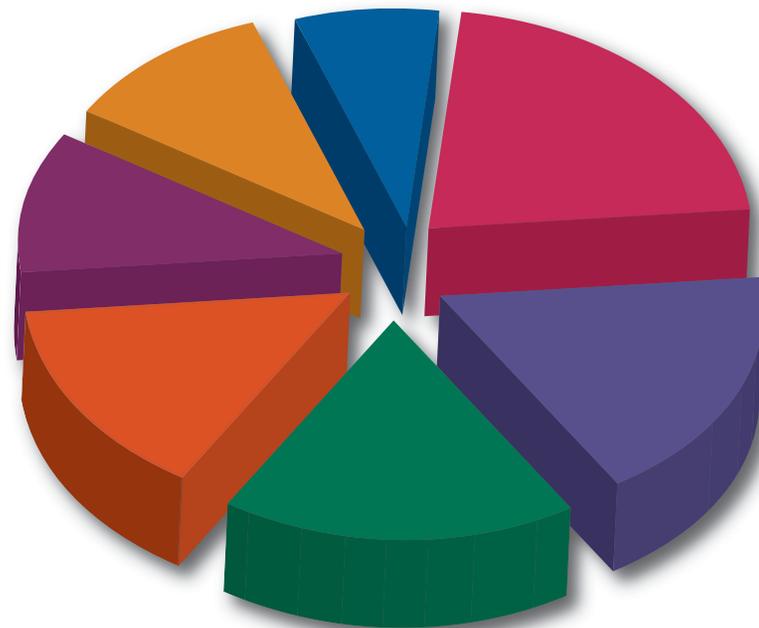


# 2012 FINANCIALS

## WHERE WE GAVE

### Charitable Categories

- Global
- Homelessness/Basic Needs
- Education
- Health
- Disaster Relief
- Food Pantries
- Veterans



## REVENUES & EXPENSES: JANUARY 2012 TO DECEMBER 2012

	UNRESTRICTED	RESTRICTED	OPERATIONS	TOTAL
<b>REVENUES</b>				
Contributions	\$66,579.20	\$39,231.22	\$8,400.00	\$114,210.42
Interest Income	31.38			31.38
<b>Total Revenue</b>	<b>\$66,610.58</b>	<b>\$39,231.22</b>	<b>\$8,400.00</b>	<b>\$114,241.80</b>
<b>EXPENSES</b>				
Insurance			\$1,000.00	\$1,000.00
Fees and Licenses			\$1,113.98	\$1,113.98
Office Expenses			\$1,230.95	\$1,230.95
Lunch and Learn			\$1,036.00	\$1,036.00
Education/Support/Promotion			\$4,263.69	\$4,263.69
<b>Total Expenses</b>			<b>\$8,644.62</b>	<b>\$8,644.62</b>
<b>Total Charitable Donations</b>	<b>\$56,133.41</b>	<b>\$39,231.22</b>	--	<b>\$95,364.63</b>
<b>Net Income/(Loss)</b>	<b>\$10,477.17</b>	--	<b>(\$244.62)</b>	<b>\$10,232.55</b>

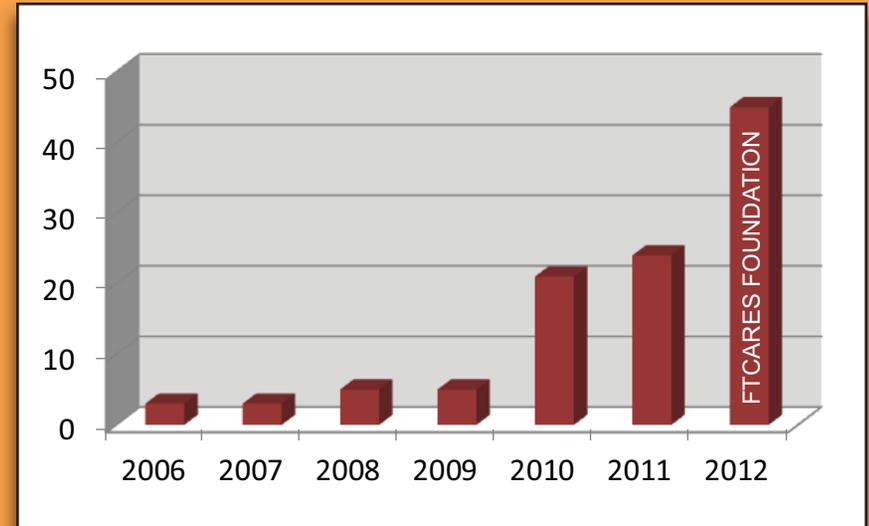
*The Mission of the FT Cares Foundation is to transform lives. We're committing our resources and our employees to show just how much First Trust Cares and how, together, we can help change the world.*

A special thanks to First Trust Portfolios for its generous contribution to the Foundation's operations, which allowed the Foundation to empower even more organizations.

# A HISTORY OF GIVING

The First Trust Cares initiative was born 6 years ago as a way for employees of First Trust Portfolios to “give back” by raising funds and supporting various charities and organizations. Since 2006, each year the number of initiatives we’ve participated in has grown. In May 2012, the IRS granted 501(c)(3) status to **FT Cares Foundation**, making contributions to FT Cares Foundation tax deductible.

Believing that *the world is moved not only by the mighty shoves of its heroes, but also by the aggregate of the tiny pushes of each citizen*, FT Cares Foundation is striving to transform lives and make a real difference locally, nationally and globally.



“First Trust Cares”  
Charity Initiatives 2006-2011



THE FT CARES FOUNDATION TORCH REPRESENTS THE LIGHT WE ARE BRINGING TO OTHERS AS WE WORK TO TRANSFORM LIVES. EACH OF US IS A TORCH BEARER IN OUR OWN WAY: MANY FIRST TRUST EMPLOYEES ALREADY TEACH AND CARE AND SERVE OTHERS. MANY DO SO WITHOUT ANY RECOGNITION OR LIGHT SHINING ON THEIR EFFORTS.

AS WE GO THROUGH TIME TOGETHER, AT FIRST TRUST WE ARE COMMITTED TO CARRYING OUR TORCHES AND, WITH THE POWER OF MANY, BRINGING HOPE AND HELP TO THOSE WHO NEED IT.

# FT CARES BOARD OF DIRECTORS AND FOUNDATION OFFICERS

## BOARD OF DIRECTORS

Andy Roggensack, Mark Bradley, Scott Jardine, Amy Lum

## OFFICERS

Jane Doyle, President  
Christina Knierim, Vice President  
Debbie Del Giudice, Treasurer  
Patricia Costello, Secretary



[ftcaresfoundation.org](http://ftcaresfoundation.org)